

# Cracking the Code: Navigating RFAs, NOFOs & Federal Forms



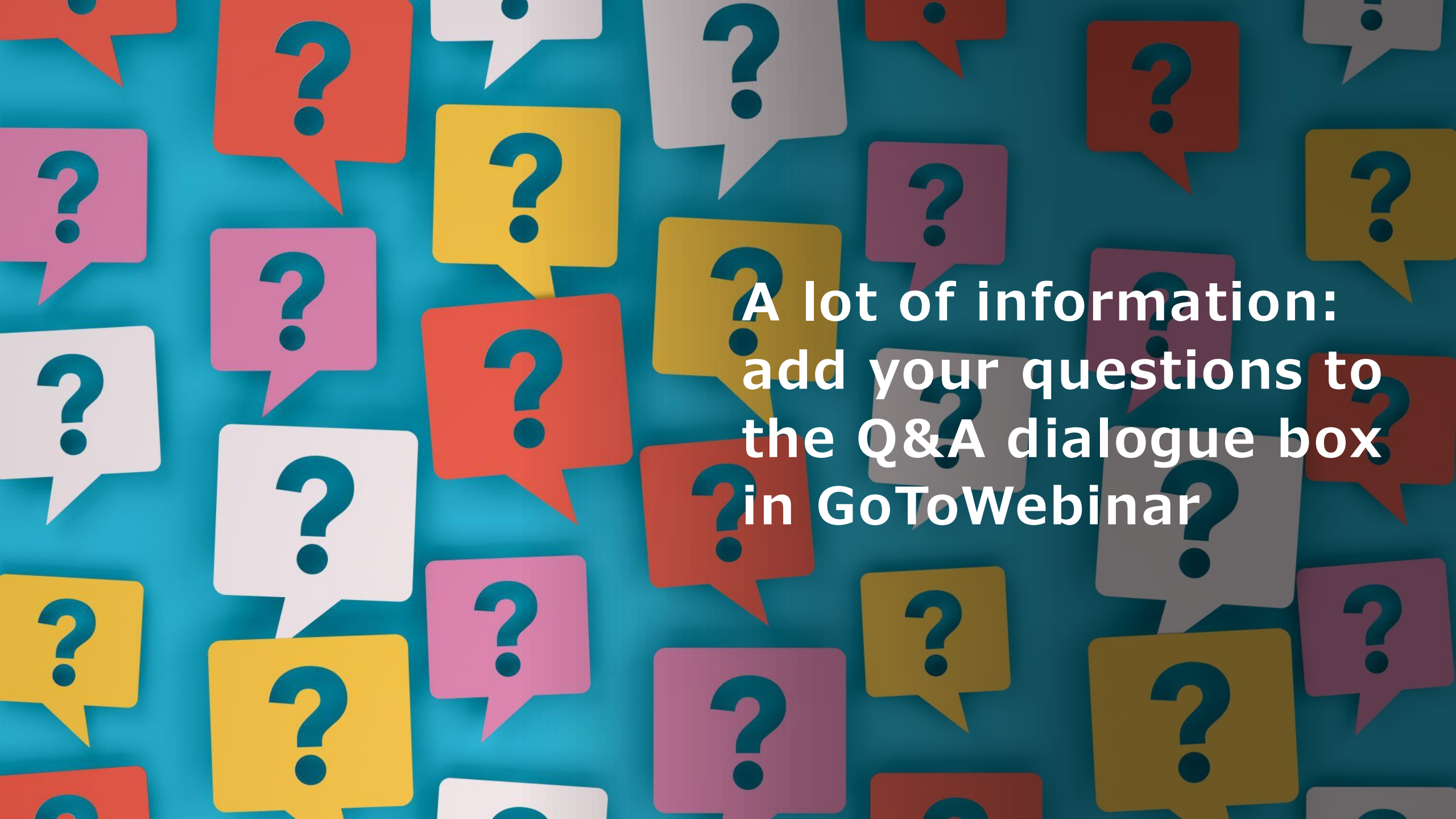
# Cynthia Naha, Senior Associate

Hello, my name is Cynthia Naha, I am enrolled member of the Hopi Tribe and is Tewa and Ihanktowan Dakota Oyate and currently reside in my traditional homes of my Tewa people, here in Santa Fe, NM.

Over the past 20 years, I have worked in the Environmental Protection and Natural Resources field for several different Tribes and Pueblos located in Arizona, California, and New Mexico. Currently, I am the Director the Environment Department for Picuris Pueblo and the former Director of the Natural Resources Department for Santo Domingo Pueblo, both are federally recognized tribes in NM.

I also work with the American Indian Higher Education Consortium (AIHEC)/Southwest Climate Adaptation Science Center (SW CASC) as a Senior Tribal Climate Resilience Liaison.





**A lot of information:  
add your questions to  
the Q&A dialogue box  
in GoToWebinar**



# **Types of Grant Opportunities & Federal Forms**

# Grant Funding – Request for Application (RFA)

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This is a financial award awarded by the federal, state, or local governments for the benefit of a project of research.

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The RFA inform researchers and other organizations that they present a proposal on how the funding could be used.

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The request for application will typically outline what types of programs are eligible, what the expectations are, and how applications can be submitted and reviewed.

# Grant Funding - Notice Of Funding Opportunity (NOFO)

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This is a financial award awarded by the federal, state, or local governments for the benefit of a project of research.

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NOFO - a public document that describes a funding opportunity, including the eligibility requirements, application process, and review criteria.

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Agencies issue NOFOs when they are ready to accept applications for grants or cooperative agreements. NOFOs are available on [Grants.gov](https://www.Grants.gov).

# Difference between a RFA and NOFO.

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An RFA is type of NOFO.

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Both the RFA and NOFO are formal announcements that indicate funding is available for specific projects of goals.

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While the format and requirements may differ, grant notifications typically include a budget, purpose, and evidence.

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RFAs target a specific topic area, while other types of NOFOs, like program announcements (PAs) with special considerations (PARs), may have a broader scope.

# What is a “Request for Applications (RFA)?”

A formal statement that solicits grants or cooperative agreement applications in a well-defined scientific area to accomplish specific program objectives.



Do not confuse with a Request for Proposals (RFP), which solicits for bids, whereas RFAs solicit for proposals for grants.



**What Does an  
RFA Look  
Like?**

**AGENCY:** ENVIRONMENTAL PROTECTION AGENCY (EPA)  
**TITLE:** SOLID WASTE INFRASTRUCTURE FOR RECYCLING (SWIFR)  
GRANTS FOR TRIBES AND INTERTRIBAL CONSORTIA  
**ACTION:** Request for Applications (RFA)

**FUNDING OPPORTUNITY NUMBER:** EPA-I-OLEM-ORCR-23-07

**Assistance Listing No:** 66.920

**KEY DATES:**

**Jan. 4, 2023** RFA OPENS  
**Jan. 19 and 25, 2023** INFORMATION SESSION SERIES #1  
**Feb. 1 and 7, 2023** INFORMATION SESSION SERIES #2  
**April 4, 2023** RFA CLOSSES – APPLICATIONS DUE BY 11:59 PM (EDT)  
**Aug. 2023** ANTICIPATED NOTIFICATION OF FUNDING SELECTION  
**Dec. 2023** ANTICIPATED AWARD

**APPLICATION SUBMISSION DEADLINE:** Application packages must be submitted electronically to the EPA through Grants.gov ([www.grants.gov](http://www.grants.gov)) no later than **April 4, 2023**, at 11:59 p.m. (ET) to be considered for funding.

**Note** - Prior to naming a contractor (including consultants) or subrecipient in your application as a “partner”, please carefully review Appendix A of [EPA’s Contracts and Subawards Solicitation Clause](#) that are incorporated by reference in this announcement (refer to *Section III.D: Named Contractors and Subrecipients*). EPA expects recipients of funding to comply with competitive procurement contracting requirements as well as EPA’s rule on Participation by Disadvantaged Business Enterprises in EPA Programs in 40 CFR Part 33. The Agency does not accept justifications for sole source contracts for services or products available in the commercial marketplace based on a contractor’s role in preparing an application or prior relationships with the contractor that were not established in compliance with competitive procurement requirements. Note that these competitive procurement requirements apply with equal force to contractual relationships established prior to or after the award of EPA funds.

There is no cost match required for this funding opportunity.

Example RFA from U.S.  
E.P.A.

Solid Waste Infrastructure  
For Recycling (SWIF) Grants)  
For Tribes and Intertr

# Understanding the RFA



REVIEW THE  
TABLE OF  
CONTENTS



KNOW THE  
SCOPE OF WORK  
– WHAT IS YOUR  
PROJECT AND  
WHAT ARE THE  
GOALS? DOES IT  
FIT WITH THE  
RFA  
REQUIREMENTS?



WHAT IS THE  
AMOUNT  
AVAILABLE FOR  
FUNDING?



IS YOUR  
TRIBAL/TRIBAL  
CONSORTIA  
ELIGIBLE?



IS THERE A  
PAGE LIMIT?



DEADLINE DATE  
FOR  
SUBMISSION

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## Other Things to Consider: Scope of Work (Eligible Activities)

### Examples:

- Develop or update plans focused on encouraging environmentally sound post-consumer materials management such as source reduction (e.g., repair clinics, reuse centers), collection, storage, transportation, composting, recycling, and/or markets for recyclables;
- Establish or identify end-markets for the use of recycled materials

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### **SECTION III. ELIGIBILITY INFORMATION**

Note: Additional provisions that apply to this section can be found at [EPA Solicitation Clauses](#).

#### **A. Eligible Applicants**

Under this announcement, applications will be accepted from:

- **Federally Recognized Tribes**,<sup>8</sup> which include Alaskan Native Villages as defined in Public Law 92-203, and former Indian reservations in Oklahoma, as determined by the Secretary of the Interior, and
- **Intertribal consortia**, consistent with the requirements in 40 CR 35.504(a).

States and territories are not eligible entities under this solicitation but are included as eligible entities under the SWIFR States and Territories grant solicitation. States and territories interested in applying for funds should refer to the SWIFR States and Territories solicitation, available at EPA's website: <https://www.epa.gov/rcra/grants-states-and-territories>.

Political subdivisions of states and territories are not eligible entities under this announcement but are included as eligible entities under the SWIFR Political Subdivisions solicitation. Political subdivisions of states and territories should refer to the SWIFR political subdivisions solicitation, available at EPA's website <https://www.epa.gov/rcra/grants-political-subdivisions>.

Individuals and for-profit organizations are not eligible entities under this solicitation but may participate in projects as contractors and subrecipients provided the transactions apply with applicable regulatory policy requirements. More information can be found in the [EPA's Subaward Policy](#).


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<sup>8</sup> <https://www.federalregister.gov/documents/2021/01/29/2021-01606/indian-entities-recognized-by-and-eligible->

# Eligible Applicants

# What is a “Notice of Funding Opportunity(NOFO)?”

Includes a description of the project and entities that are eligible to apply. If your Tribe is interested in applying for a funding opportunity, first review the eligibility criteria located within the NOFO.



A notice of funding opportunity (NOF) is a formal announcement inviting grant award applications from extramural investigators.

## 2015 Clean Water Indian Set-Aside Program Infographic

The infographic highlights important facts about the tribal communities wastewater and the Clean Water Indian Set-Aside Program.

On this page:

- [Infographic](#)
- [Text version of the infographic](#)
- [Download the infographic \(png\)](#)



# Clean Water Indian Set-Aside Program

<https://www.epa.gov/small-and-rural-wastewater-systems/clean-water-indian-set-aside-program>

**Table 2: Bipartisan Infrastructure Law Funding for Tribal Water Infrastructure FY 2022 – 2026**

<b>Appropriation*</b>	<b>FY 2022</b>	<b>FY 2023</b>	<b>FY 2024</b>	<b>FY 2025</b>	<b>FY 2026</b>	<b>5-Year Total</b>
Clean Water Indian Set-Aside (CWISA) BIL General Supplemental	\$38,040,000	\$44,040,000	\$48,060,000	\$52,060,000	\$52,060,000	<b>\$234,260,000</b>
CWISA Emerging Contaminants	\$2,000,000	\$4,500,000	\$4,500,000	\$4,500,000	\$4,500,000	<b>\$20,000,000</b>
Drinking Water Infrastructure Grants – Tribal Set-Aside (DWIG-TSA) BIL General Supplemental	\$38,040,000	\$44,040,000	\$48,060,000	\$52,060,000	\$52,060,000	<b>\$234,260,000</b>
DWIG-TSA Emerging Contaminants	\$16,000,000	\$16,000,000	\$16,000,000	\$16,000,000	\$16,000,000	<b>\$80,000,000</b>
DWIG-TSA Lead Service Line Replacement	\$60,000,000	\$60,000,000	\$60,000,000	\$60,000,000	\$60,000,000	<b>\$300,000,000</b>
<b>Subtotal – CWISA and DWIG-TSA Appropriations</b>	<b>\$154,080,000</b>	<b>\$168,580,000</b>	<b>\$176,620,000</b>	<b>\$184,620,000</b>	<b>\$184,620,000</b>	<b>\$868,520,000</b>
Emerging Contaminants in Small or Disadvantaged Communities Tribal	\$19,300,000	\$19,300,000	\$19,300,000	\$19,300,000	\$19,300,000	<b>\$96,500,000</b>
<b>Total for all Appropriations</b>	<b>\$173,380,000</b>	<b>\$187,880,000</b>	<b>\$195,920,000</b>	<b>\$203,920,000</b>	<b>\$203,920,000</b>	<b>\$965,020,000</b>

\*Annual DWIG-TSA and CWISA funding is dependent upon percentages established in annual appropriations and cannot be considered final.

# The Clean Water Indian Set-Aside Program

The EPA Regions are expected to advise the local IHS area offices and Tribes on project selections.

The Tribes themselves are to determine how they would like to receive CWISA funding.

Funding can be provided either through a direct EPA grant to the Tribe or through an Interagency Agreement with IHS, who will manage the project through a Memorandum of Agreement, or other similar mechanism, with the Tribe.





# Indian Health Service

## Division of Sanitation Facilities Construction (DSFC) Project Dashboard

[Click here to go to the DSFC Hub Site.](#)

Select an IHS Area  
 All Areas

Select a State  
 All States

Select a Tribe  
 All Tribes

Funding Year  
 All Years

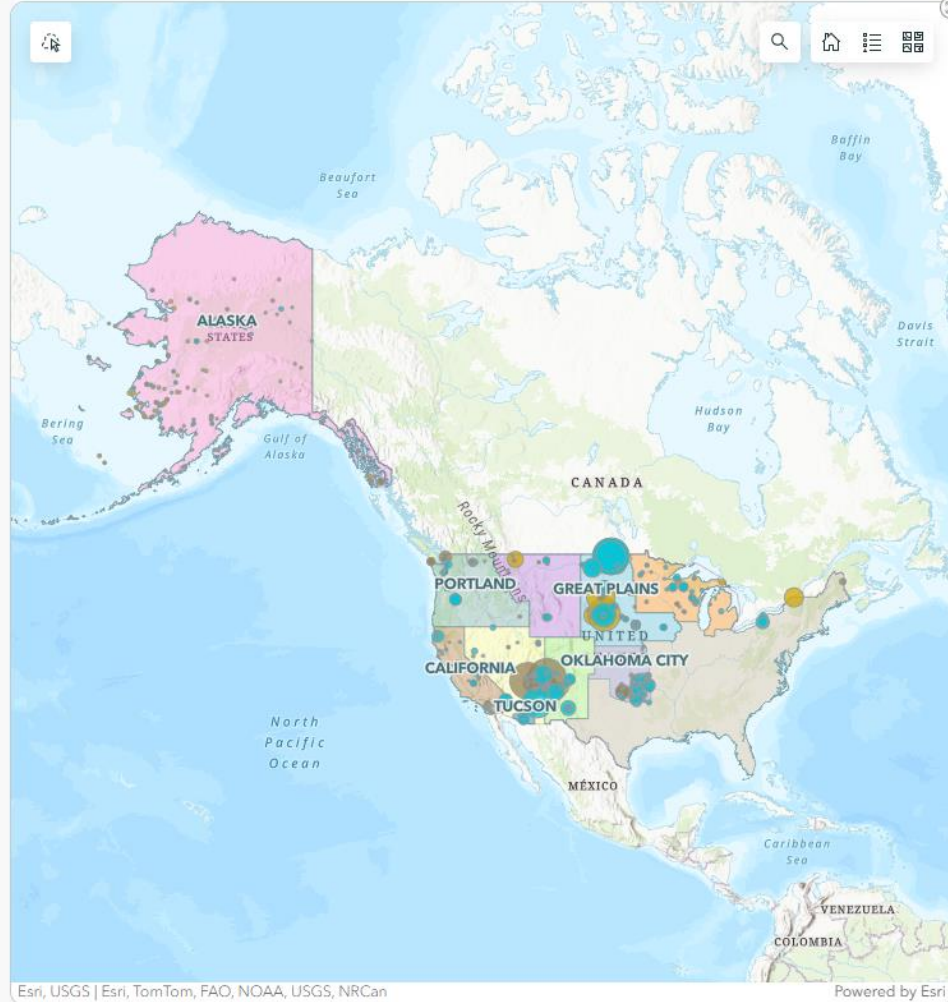
Select a Funding Source  
 All Funding Sources

Contributions Only  
 No

Click to select and view project information. Click again to clear filter and show all projects.

**Project Name:** SR - CSL: Wakpala WW Treatment  
**Project Number:** AB16J02  
**IHS Area:** Great Plains  
**State:** South Dakota  
**Delivery Method:** IHS Direct Service  
**All Funding Years:** 2022  
**All Funding Sources:** BIL, Tribal  
**Homes Benefitting:** 80  
**Tribe:** Standing Rock Sioux Tribe of North & South Dakota

**Project Name:** CR - CS: BIA House Sewer Ren.  
**Project Number:** AB17J05  
**IHS Area:** Great Plains  
**State:** South Dakota  
**Delivery Method:** IHS Direct Service  
**All Funding Years:** 2024  
**All Funding Sources:** BIL, EPA CWA Set-Aside  
**Homes Benefitting:** 462  
**Tribe:** Cheyenne River Sioux Tribe of the Cheyenne River Reservation, South Dakota



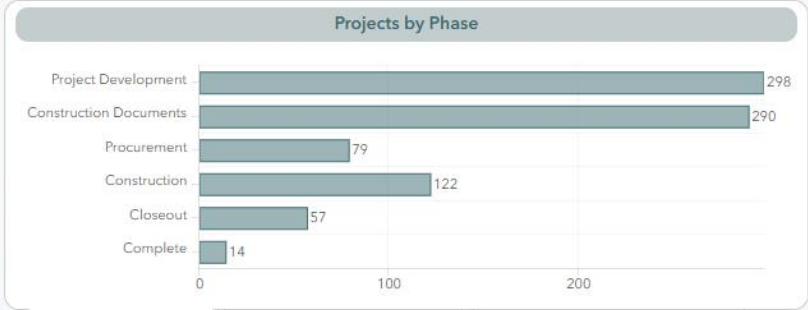
### Projects Summary

860

Total Projects

### Funding Summary

Source	Amount
2022 Appropriations	\$22,793,589
2022 BIL	\$638,742,200
2022 CDS	\$43,459,119
2022 CIP	\$11,802,358
2022 Denali Commission	\$1,369,847
	<b>\$2,419,673,797</b>



After the EPA Regions have consulted with the appropriate parties and identified projects, they will submit to the EPA Headquarters key information for each project. The information is identified below and is requested within two months of issuance of this guidance to meet either your Region's award deadlines, or the EPA Interagency Agreement Shared Service Center deadline:

1. Project name
2. Project description
3. Tribe/ANV name
4. IHS area name
5. IHS SDS number
6. SDS priority number
7. Amount of CWISA funds requested
8. Total project cost
9. Number of homes served by the project
10. Funds to be distributed through a direct grant with a Tribe or an IA with IHS, and
11. Project type (piping, treatment, onsite, planning, etc.)

The funds will be accessible to each Region once this information is received and reviewed by Headquarters staff. Once the projects are funded the CWISA coordinator will also require the EPA grant or IA number and the IHS Project Data System number (if awarded through an IA with IHS). Once the projects are funded, Regions are asked to populate the Tribal Direct Implementation Nexus, or TDI Nex, system.



## Frequently Asked Questions (FAQ) about the U.S. EPA Clean Water Indian Set-Aside Program for Potential Applicants

November 2015



EPA-832-F-15-004

Contact the IHS Area Office Coordinator if you should have questions.

Also, contact the U.S. EPA Region Coordinator.

# Indian Health Service (IHS) & the Sanitation Deficiency System

Department of Health & Human Services



THE INDIAN HEALTH SERVICE (IHS) SANITATION DEFICIENCY SYSTEM (SDS) IS AN INVENTORY OF PROJECTS DEVELOPED TO ADDRESS EXISTING SANITATION DEFICIENCIES IN AMERICAN INDIAN AND ALASKA NATIVE COMMUNITIES. THIS GUIDANCE DOCUMENT PROVIDES THE STANDARDS AND PROCEDURES FOR IDENTIFYING DEFICIENCIES, DEVELOPING PROJECTS, AND PRIORITIZING PROJECTS.



ALL IHS AREAS, REGARDLESS OF HOW THE SANITATION FACILITIES CONSTRUCTION (SFC) PROGRAM IS DELIVERED, MUST REPORT THEIR SANITATION DEFICIENCIES UNIFORMLY AS PROJECTS ACCORDING TO THESE GUIDELINES, IN ACCORDANCE WITH SECTIONS 1632 (G) (2) AND (3) OF THE INDIAN HEALTH CARE IMPROVEMENT ACT.

**Table 1: SDS Project Components**

Component Name	Definition
Project Name	Brief title used to identify the project.
Community	Geographic designation that identifies the location of the project and links it to other information (e.g. Field Office, EPA Region).
Tribe	Name of Tribe primarily benefiting from the project.
Existing Deficiency	A complete and concise statement describing the existing sanitation deficiencies and the public health problem(s) resulting from them.
Homes	The number, type, and location of homes and other users benefiting from the project (regardless of eligibility).
Primary Project Purpose	A categorical designation of the overall purpose of the project (e.g. increase system capacity or repair/replace failed facilities).
Proposed Facilities	A complete and concise summary of the facilities proposed to address the sanitation deficiencies described.
Project Deficiency Level	Numerical categorization of the magnitude of the sanitation deficiencies that the project addresses.
Cost	A detailed cost estimate of the proposed facilities, prorated by home type, funding source, and other factors as needed.
Funding Sources	Identification and documentation of the proposed funding sources and their current status (e.g. a Journal entry on the likelihood of availability).
Economic Feasibility	A yes/no determination of whether the project's eligible cost per home exceeds the allowable unit cost.
Rating Scores	Eight rating factors to which points are assigned for each project to facilitate their prioritization.
Ready to Fund	A yes/no determination completed by the Area SFC Director that confirms whether sufficient project planning has occurred and that significant project risks have been

Ask AI Assistant

Ask a question about this document

# Funding through Indian Health Service (IHS) with the Sanitation Deficiency System

[https://www.ihs.gov/sites/dsfc/themes/responsive2017/display\\_objects/documents/Final\\_SDS\\_Guide\\_v2.pdf](https://www.ihs.gov/sites/dsfc/themes/responsive2017/display_objects/documents/Final_SDS_Guide_v2.pdf)



## SANITATION DEFICIENCY SYSTEM

### S D S

A Guide for Reporting Sanitation Deficiencies for American Indian and Alaska Native Homes and Communities

September 2019

Ask AI Assistant

Try, "Generate a document overview"

# Research the Funding Opportunities

Climate Change, Resiliency  
& Adaptation

[Tribal Climate Resilience  
Annual Awards Program |  
Indian Affairs \(bia.gov\)](#)



**Tribe:** Kashia Band of Pomo Indians of the Stewarts Point Rancheria, California **Amount Funded:** \$250,000

**Title:** *Planning for Climate Resilient Water and Wastewater System Upgrades at the Stewarts Point Rancheria*

**Project Description:** The Stewarts Point Rancheria is a remote community in Sonoma County, California, about an hour outside of Santa Rosa. The Rancheria is vulnerable to damage from severe thunderstorms, winter storms, fires, flooding, and rockslides. The Tribe believes the impacts of climate change will only worsen these conditions - more wildfires, stronger storms, worse flooding, etc. The Kashia Band of Pomo Indians will plan for Climate Resilient Water and Wastewater System Upgrades at the Stewarts Point Rancheria. The Tribe will hire an engineering firm to conduct a climate vulnerability and resilience assessment of the existing water and wastewater infrastructure to better determine what aspects of the system require updates or modifications. This information will inform future efforts to secure implementation funding and make the recommended changes to ensure the safety and reliability of the water system for generations of Rancheria residents to come.

**Tribe:** Santo Domingo Pueblo **Amount Funded:** \$201,304

**Title:** *Santo Domingo Pueblo - Strategic Water Management Plan Development*

**Project Description:** The primary objective of the project is to develop a Strategic Water Management Plan for the Santo Domingo Pueblo. This initial planning project will be used to identify projects that address vulnerability to climate change, the impacts that affect water supply reliability, and identify strategies that support ecological values along the Middle Rio Grande River.

**Tribe:** Upper Skagit Indian Tribe **Amount Funded:** \$3,837,208

**Title:** *Final Design and Construction of Potable Water Intertie to Mitigate Climate Change Risks*

**Project Description:** The Upper Skagit Indian Tribe operates a Class A public water system to serve its Helmick Road Reservation and several adjacent off-Reservation residential properties. The Tribe received FY20 BIA Tribal Resilience (TCR) funds to complete an alternatives analysis and preliminary design for an emergency intertie with Skagit PUD #1. This award will provide the resources to advance the preliminary design to final design and construction of the intertie with the Skagit PUD #1 water system to provide a backup source of water in an emergency such as a wildfire. This will help the Tribe protect-in-place its Helmick Road Reservation public water system from these climate risks.

Updated March 13<sup>th</sup>, 2024

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<https://www.bia.gov/bia/ots/tcr/previous-awards>

**Tribe:** The Choctaw Nation of Oklahoma **Amount Funded:** \$250,000

**Title:** *The Choctaw Nation of Oklahoma Water Infrastructure Vulnerability and Resilience Planning*

**Project Description:** The Choctaw Nation of Oklahoma will conduct Water Infrastructure Vulnerability and Resilience Planning (CNO). The CNO will provide consultants to various water districts and communities in the reservation for the purpose of conducting water rate studies, and to perform utility rate & funding analysis, infrastructure vulnerability reviews, and other reviews as deemed needed. The districts will be chosen by the CNO Office of Water Resource Management team and will be spread over the entire CNO reservation. These studies and analysis will benefit thousands of Tribal and community members for decades to come through the resiliency planning that they will produce.

**Tribe:** Oglala Sioux Tribe **Amount Funded:** \$375,210

**Title:** *Implementation of a Non-Potable Water Supply to Prepare and Mitigate for Periods of Drought*

**Project Description:** The Oglala Sioux Tribe (OST) Water Resources Department maintains a map and inventory of deactivated wells and pumphouses within the Pine Ridge Reservation (Reservation). This project is the implementation of three mitigation strategies provided in the OST Drought Adaptation Plan (DAP). Mitigation strategies from the DAP include protection of groundwater recharge for aquifers, identification of water sources for fire suppression, and livestock management for wells that have suitable water quality for livestock consumption. The purpose of this project is to reactivate existing wells and pumphouses and regulate the use of the Non-Potable Water Supply within the Pine Ridge Reservation. This project will support tribal resilience by reducing the demand on the rural water system during drought periods and improve OST's agriculture and food sovereignty by giving the Tribe more authority over the use of water and conservation practices on the Reservation.

# Matching Funds

Be sure to check if there is a requirement or not.



**4 things to know**

## **A. Requirements to Submit through Grants.gov and Limited Exception Procedures**

Applicants must apply electronically through [Grants.gov](https://www.grants.gov) under this funding opportunity based on the grants.gov instructions in this announcement. If your organization has no access to the internet or access is very limited, you may request an exception for the remainder of this calendar year by following the procedures outlined [here](#). Please note that your request must be received at least 15 calendar days before the application due date to allow enough time to negotiate alternative submission methods. Issues with submissions with respect to this opportunity only are addressed in *Section IV.C: Technical Issues with Submission* below.

## **B. Submission Instructions**

### **1. SAM.gov (System for Award Management) Registration Instructions**

Organizations applying to this funding opportunity must have an active SAM.gov registration. If you have never done business with the Federal Government, you will need to register your organization in SAM.gov. If you do not have a SAM.gov account, then you will create an account using [login.gov](https://www.sam.gov) (1) to complete your SAM.gov registration. SAM.gov registration is FREE. The process for entity registrations includes obtaining Unique Entity ID (UEI), a 12-character alphanumeric ID assigned an entity by SAM.gov, and requires assertions, representations and certifications, and other information about your organization. Please review the [Entity Registration Checklist](#) for details on this process.

If you have done business with the Federal Government previously, you can check your entity status using your government issued UEI to determine if your registration is active. SAM.gov requires you renew your registration every 365 days to keep it active.

Please note that SAM.gov registration is different than obtaining a UEI only. Obtaining an UEI only validates your organization's legal business name and address. Please review the [Frequently Asked Question](#) on the difference for additional details.



**You cannot use [grants.gov](https://www.grants.gov) without  
SAM**

## 2. Grants.gov Registration Instructions

Once your SAM.gov account is active, you must register in Grants.gov. Grants.gov will electronically receive your organization information, such as e-Business (EBiz) point of contact email address and UEI. Organizations applying to this funding opportunity must have an active Grants.gov registration. Grants.gov registration is FREE. If you have never applied for a federal grant before, please review the [Grants.gov Applicant Registration](#) instructions. As part of the Grants.gov registration process, the EBiz point of contact is the only person that can affiliate and assign applicant roles to members of an organization. In addition, at least one person must be assigned as an Authorized Organization Representative (AOR). Only person(s) with the AOR role can submit applications in Grants.gov. Please review the [Intro to Grants.gov-Understanding User Roles and Learning Workspace – User Roles and Workspace Actions](#) for details on this important process.

Please note that this process can take a month or more for new registrants. Applicants must ensure that all registration requirements are met in order to apply for this opportunity through Grants.gov and should ensure that all such requirements have been met well in advance of the application submission deadline.

Contact [Grants.gov](#) for assistance at 1-800-518-4726 or [support@grants.gov](mailto:support@grants.gov) to resolve technical issues with Grants.gov. Applicants who are outside the U.S. at the time of submittal and are not able to access the toll-free number may reach a Grants.gov representative at <mailto:support@grants.gov> 5035. The Grants.gov Support Center is available 24 hours a day 7 days a week, excluding federal holidays.



If you have an old account, make sure it's still active [grants.gov](https://www.grants.gov) requires a new password every 6 weeks.

# EIN

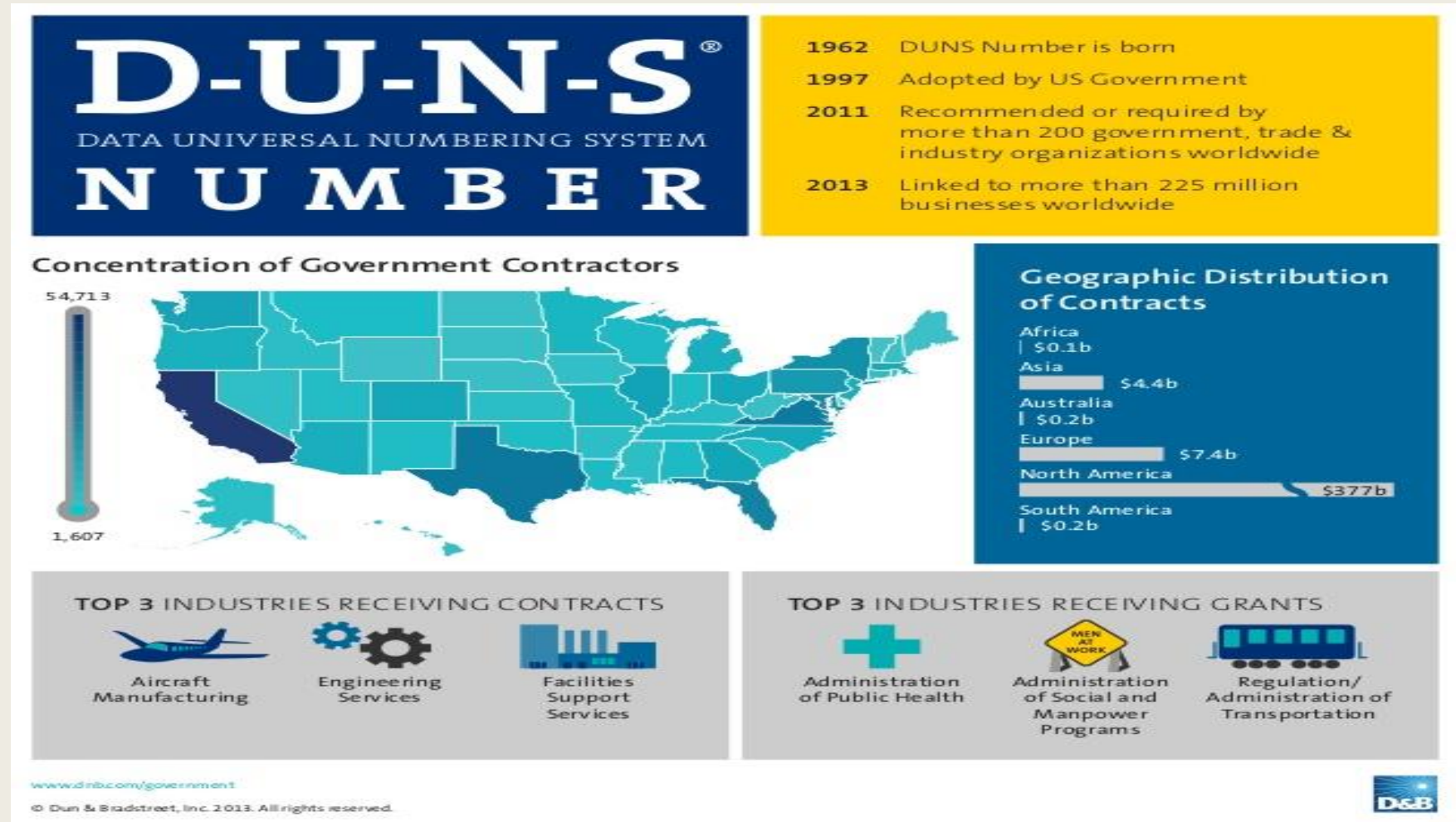
## What is EIN

- \* Employer Identification Number or Federal Tax Identification Number
- \* EIN is required to efile your 2290 form tax return

## How to Get EIN Online

- \* Apply online at [irs.gov](https://www.irs.gov)
- \* Efile all your business taxes with EIN.

# It's how the government tracks you and the flow of federal \$\$



<http://fedgov.dnb.com/webform/displayHomePage.do>

# Keep in Mind the Application Submission Deadline

Most, if not all Federal Agency will require submission based of Eastern Time (ET)



# Application Materials

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**The following forms and documents are required under this announcement: Mandatory Documents:**

1. Application for Federal Assistance (SF-424);
2. Budget Information for Non-Construction Programs (SF-424A);
3. EPA Key Contacts Form 5700-54;
4. EPA Form 4700-4 Pre-award Compliance Review Report;
5. Project Narrative Attachment Form: Use this to submit your Project Narrative, prepared as described in Section IV.E: Content of Application Submission.

A hand holding a blue pen is writing on a form with a grid of small circles. The scene is framed by a large, semi-transparent white circle with a thin grey border. The background is softly blurred, showing a desk with a pen holder and a glass of orange juice.

# **Federal Forms to know**

[View Burden Statement](#)

OMB Number: 2030-0020  
Expiration Date: 04/30/2021

## Preaward Compliance Review Report for All Applicants and Recipients Requesting EPA Financial Assistance

Note: Read Instructions before completing form.

### I. A. Applicant/Recipient (Name, Address, City, State, Zip Code)

Name:

Address:

City:

State:

Zip Code:

B. DUNS No.

II. Is the applicant currently receiving EPA Assistance?

Yes

No

**Pre-Award Compliance: This May  
Take Some Time**

Application  
Changed/Corrected Application

Continuation  
Revision

\* 3. Date Received:

4. Applicant Identifier:

Completed by Grants.gov upon submission.

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

\* a. Legal Name:

\* b. Employer/Taxpayer Identification Number (EIN/TIN):

\* c. Organizational DUNS:

1970528480000

d. Address:

\* Street1:

Street2:

\* City:

County/Parish:

\* State:

## EPA KEY CONTACTS FORM

**Administrative Contact:** *Individual from Sponsored Programs Office to contact concerning administrative matters (i.e., indirect cost rate computation, rebudgeting requests etc).*

**Name:** Prefix:  First Name:  Middle Name:   
Last Name:  Suffix:   
**Title:**   
**Complete Address:**  
Street1:   
Street2:   
City:  State:   
Zip / Postal Code:  Country:   
**Phone Number:**  **Fax Number:**   
**E-mail Address:**

**Project Manager:** *Individual responsible for the technical completion of the proposed work.*

**Name:** Prefix:  First Name:  Middle Name:   
Last Name:  Suffix:   
**Title:**   
**Complete Address:**  
Street1:   
Street2:   
City:  State:   
Zip / Postal Code:  Country:   
**Phone Number:**  **Fax Number:**   
**E-mail Address:**



## EPA KEY CONTACTS FORM

OMB Control No. 2030-0020  
Approval expires 06/30/2024

This collection of information is approved by OMB under the Paperwork Reduction Act, 44 U.S.C. 3501 et seq. (OMB Control No. 2030-0020). Responses to this collection of information are required to obtain an assistance agreement (40 CFR Part 30, 40 CFR Part 31, and 40 CFR Part 33 for awards made prior to December 26, 2014, and 2 CFR 200, 2 CFR 1500, and 40 CFR Part 33 for awards made after December 26, 2014). An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The public reporting and recordkeeping burden for this collection of information is estimated to be 0.5 hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates and any suggested methods for minimizing respondent burden to the Regulatory Support Division Director, U.S. Environmental Protection Agency (2821T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

**Authorized Representative:** *Original awards and amendments will be sent to this individual for review and acceptance, unless otherwise indicated.*

**Name:** Prefix:  First Name:  Middle Name:   
Last Name:  Suffix:   
**Title:**   
**Complete Address:**  
Street1:   
Street2:   
City:  State:   
Zip / Postal Code:  Country:   
**Phone Number:**  **Fax Number:**   
**E-mail Address:**

**Payee:** *Individual authorized to accept payments.*

**Name:** Prefix:  First Name:  Middle Name:   
Last Name:  Suffix:   
**Title:**   
**Complete Address:**  
Street1:   
Street2:   
City:  State:   
Zip / Postal Code:  Country:   
**Phone Number:**  **Fax Number:**   
**E-mail Address:**

**BUDGET INFORMATION - Non-Construction Programs**

OMB Number: 4040-0006  
Expiration Date: 02/28/2025

**SECTION A - BUDGET SUMMARY**

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. [Redacted]	[Redacted]	\$ [Redacted]	\$ [Redacted]	\$ [Redacted]	\$ [Redacted]	\$ [Redacted]
2. [Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
3. [Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
4. [Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
5. <b>Totals</b>		\$ [Redacted]	\$ [Redacted]	\$ [Redacted]	\$ [Redacted]	\$ [Redacted]

**SECTION B - BUDGET CATEGORIES**

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
a. Personnel	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
b. Fringe Benefits	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
c. Travel	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
d. Equipment	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
e. Supplies	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
f. Contractual	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
g. Construction	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
h. Other	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
i. Total Direct Charges (sum of 6a-6h)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/>
j. Indirect Charges	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/>
k. TOTALS (sum of 6i and 6j)	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
7. Program Income	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

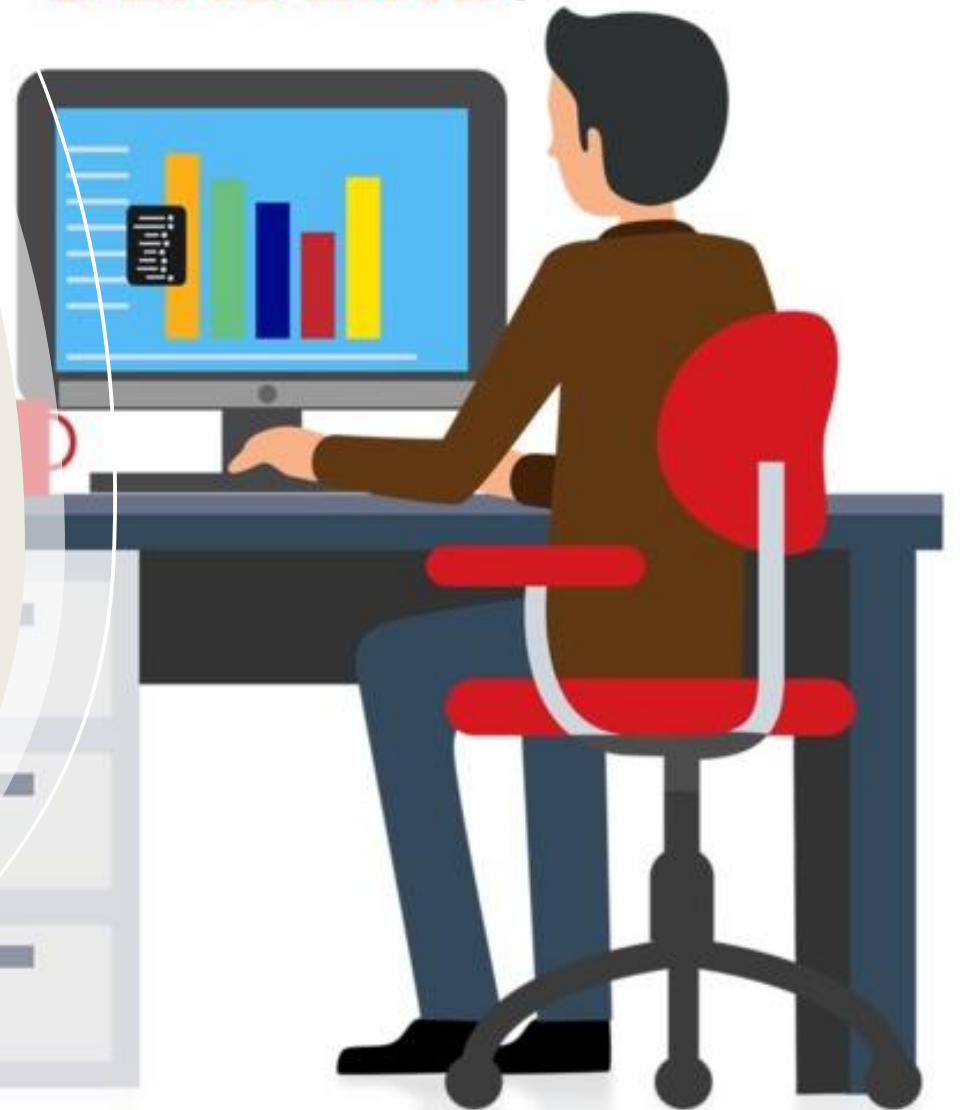
Authorized for Local Reproduction

SECTION C - NON-FEDERAL RESOURCES					
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e)TOTALS	
8. <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	
9. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
10. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
11. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
12. TOTAL (sum of lines 8-11)	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	
SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
14. Non-Federal	\$ <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
15. TOTAL (sum of lines 13 and 14)	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT					
(a) Grant Program	FUTURE FUNDING PERIODS (YEARS)				
	(b)First	(c) Second	(d) Third	(e) Fourth	
16. <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	
17. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
18. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
19. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
20. TOTAL (sum of lines 16 - 19)	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	
SECTION F - OTHER BUDGET INFORMATION					
21. Direct Charges: <input type="text"/>	22. Indirect Charges: <input type="text"/>				
23. Remarks: <input type="text"/>					

**DEADLINE!**

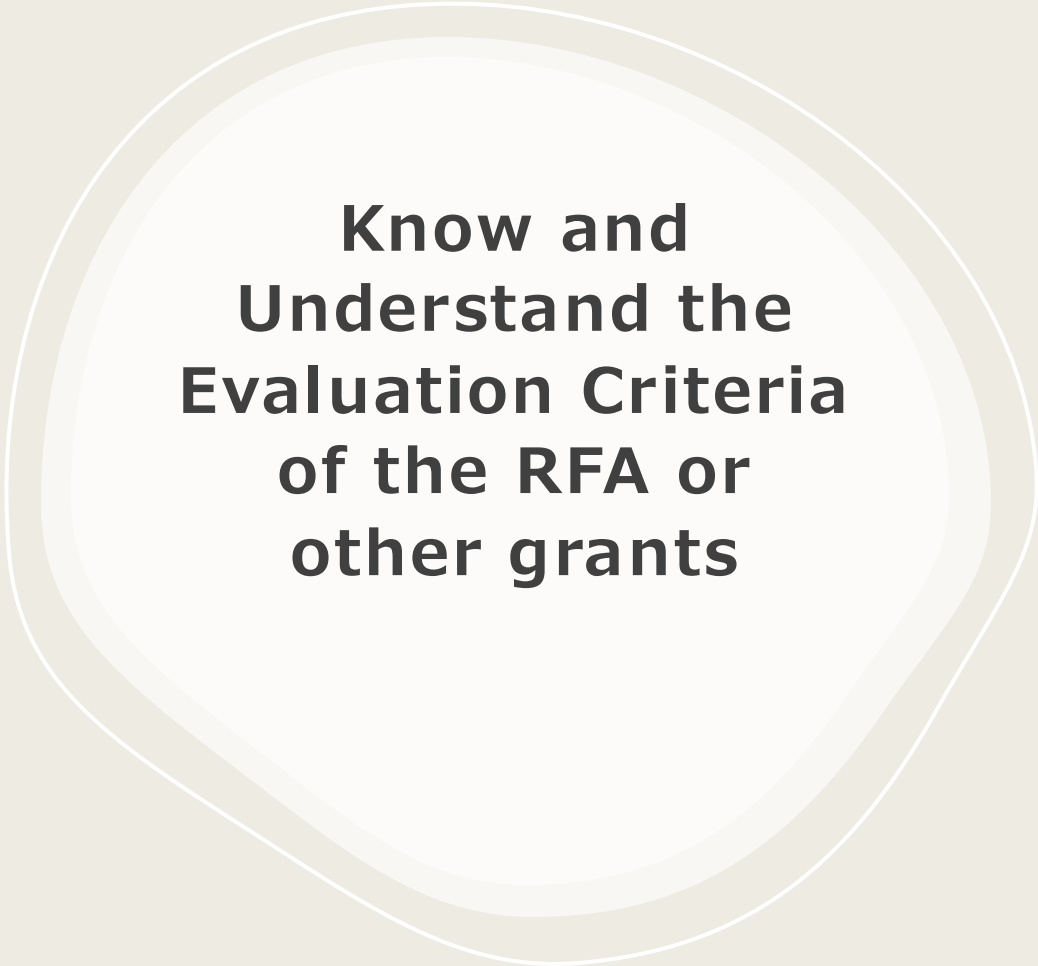
Don't Forget!

Also know the timeframe for  
your Tribal leader's approval.



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EVALUATION & CRITERIA	MAX. POINTS PER CRITERION
1. Project Summary & Approach	35
2. Impactful Approaches & Solutions	15
3. Measuring Environmental Impacts: Anticipated Outcomes & Outputs	10
4. Programmatic Capability & Past Performance	10
5. Budget & Expenditure of Awarded Grant Funds	10
6. Project Sustainability	5
7. Project Replicability	15
Total	100



**Know and Understand the Evaluation Criteria of the RFA or other grants**



# **Award Administration Information**

Award Notification – timeframe listed in the RFA

Cooperative Agreement between the Tribe and Federal Agency

Getting started and following reporting requirements – quarterly, bi-annually, annually

Have fun while developing your project



**Questions?**