



Environmental
Facilities Corporation

Department
of Health

SRF Financing & State Grant Funding Recommendations

Liz Ricci, P.E., EFC

Will Grady, DOH

Engineers Roundtable | April 4, 2024

About EFC

We Fund New York State Water Infrastructure

Clean Water State Revolving Fund

Low-cost capital for sewer and wastewater treatment projects.

Drinking Water State Revolving Fund

Low-cost capital for drinking water projects.

State Water Grants

Ease the cost burden of capital infrastructure costs.

Federal Bipartisan Infrastructure Law Funding

Administered through the State Revolving Funds.

Grant Programs

Engineering
Planning
Grant

EPG

Water
Infrastructure
Improvement

WIIA

Intermunicipal
Grants

IMG

Green
Innovation
Grant Program

GIGP

State Revolving
Fund Grants

SRF

Bipartisan
Infrastructure
Grants

BIL



SRF Financial Assistance

State Revolving Fund

Provides financial assistance for the loan portion of a project's financing plan

- ✔ Convenience of a one-stop shopping
- ✔ Available through CWSRF or DWSRF in conjunction with WIIA or IMG
- ✔ Participation in SRF financing is not a requirement to receive a grant
- ✔ Applicants must be prepared to fund the balance of the project with funding they have determined to be similarly to low cost to SRF financing assistance

Water Infrastructure Improvement (WIIA) Grant

Clean Water WIIA

- ✓ Municipally-owned sewage treatment works
- ✓ Stormwater projects are only eligible if proposed scope is addressing a sanitary sewer issue (e.g., combined sewer separation)

Drinking Water WIIA

- ✓ Municipally-owned public water systems



Water Infrastructure Improvement (WIIA) Grant

Clean Water WIIA

- ✓ 25% Grant up to \$25M
- ✓ 50% Grant up to \$25M for small, rural, and disadvantaged communities

Drinking Water WIIA

- ✓ 60% grant up to \$5M
- ✓ 70% grant for projects addressing an **emerging contaminant** above the State determined Maximum Contaminant Level (MCL)



Net Eligible Project Costs



Total Eligible Project Costs – Awarded Grant Funding = **Net Eligible Project Costs**

- Municipalities will be awarded grant % of total net eligible project costs, up to program maximum
- For Clean Water WIIA, grants administered through EFC will not be used to calculate net eligible project costs (GIGP, CWSRF, BIL)
- For Drinking Water WIIA, the maximum a municipality can receive is **\$5 million** in DWSRF grant and/or WIIA grant per year

Clean Water Example:

\$ 10,000,000 Total Eligible Project Costs
- \$ 400,000 Awarded Non-EFC Grant Funding

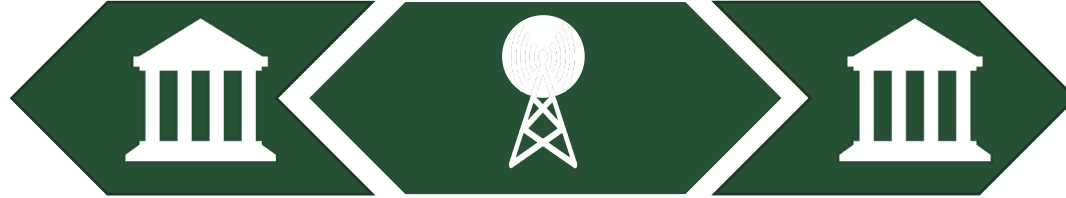
\$ 9,600,000 Net Eligible Project Costs

To find the **Max Grant Amount:**

\$ 9,600,000 Net Eligible Project Costs
x .25 Percent

\$ 2,400,000 Max Grant Eligible

Intermunicipal Grants (IMG)



Available for clean water and drinking water projects

- More than one municipality undertaking a capital improvement project jointly
- Municipalities will be awarded 40% of total net project costs, up to \$30 million
- One municipality must be the “lead municipality” and apply on behalf of all cooperating municipalities
- Municipalities may apply for this grant on more than one project, but a municipality is limited to receiving one IMG grant per year.
- Each municipality is limited to receiving no more than a \$10 million allocation per year

IMG Grant



Total Eligible Project Costs – Other Awarded Grant Funding = **Net Eligible Project Costs**

Example:

\$	10,000,000	Total Eligible Project Costs
- \$	400,000	Other Awarded Grant Funding
<hr/>		
\$	9,600,000	Net Eligible Project Costs

*To find the **Max Grant Amount:***

\$	9,600,000	Net Eligible Project Costs
X	<u>.40</u>	Percent
\$	3,840,000	Max Grant Eligible

EFC Application Portal



FOUND AT:

 www.efc.ny.gov

- ✔ Separate SRF and Grant Applications
 - Can copy information over between applications
- ✔ One application for both WIIA & IMG grant programs
 - Identify: Clean Water or Drinking Water project
 - Can save progress of draft application
- ✔ SRF and WIIA/IMG Applications due **June 14, 2024**
 - Same date to list or update projects on IUP!

Application Required Documents

- ✓ Engineering Report
- ✓ Bond Resolution (SRF)
- ✓ Board Resolution with Plan of Finance (WIIA/IMG Grant without SRF)
- ✓ Environmental Review
- ✓ State Historic Preservation Office (SHPO) Correspondence
 - Must be letter from SHPO office, not CRIS notification of submittal
- ✓ Intermunicipal Agreement (IMG applicants only)

* Remember – check all documents before you submit to make sure we've received all required items, or application will be incomplete.

WIIA/IMG Grant Application Tips

- ✔ Determine if you want SRF financing with your WIIA/IMG grant
 - Correctly identify in WIIA/IMG application
 - Submit separate SRF application in EFC's Application Portal
 - List your project on the IUP
- ✔ Submit applications early
 - Staff can help flag if you're missing something
- ✔ Want IMG? Check the WIIA box too, to improve your grant chances
- ✔ Submit all required documents with your application
 - Upload files separately
 - Clearly label
- ✔ Revisit your project budget
 - Should be current within 6 months
 - Include appropriate contingency
 - Grant awards are not-to-exceed and will not be increased if your project costs go

WIIA/IMG Grant Application Tips

- ✔ Grant Only Plan of Finance
 - Board Resolutions must clearly outline how the remaining local share of the project will be funded without SRF financing
- ✔ Describe project readiness
- ✔ SEQR Reminder:
 - If you intend to use SRF financing, and your project is not a Type II action, you MUST do a Type I, full coordinated review
 - Submit documentation of entire review process
 - Type II SEQR resolutions must cite the reason why the project is classified as Type II
- ✔ Include applicable EFC Terms & Conditions in ALL contracts
 - Construction & Non-construction contracts
 - Not sure which version to use? Ask us!
- ✔ Provide Co-funding Award Letters

Thank you!

Liz Ricci, P.E.

**Program Manager
Engineering Division
NYSEFC**

Elizabeth.Ricci@efc.ny.gov

Will Grady

**Project Engineer
Design Section
NYSDOH BWSP**

William.Grady@health.ny.gov



Department of
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Conservation

Application Tips

**Water Quality Improvement Projects (WQIP) and
Non-Ag Nonpoint Source Planning and MS4
Mapping Grant (NPG)**

April 4th, 2024

Application Tips – DEC Division of Water RFAs

- Start early
- Review entire RFA and then focus on your project type
 - Sections relevant to all applications
 - Section for your project type
- Attach everything required
 - DEC will not contact you if something is missing
 - If an attachment is missing or not filled in, application is ineligible
 - The CFA system will allow you to submit an application even if attachments are missing

Application Tips – DEC Division of Water RFAs

- Make the connections to water quality
 - Show how your project fits the grant
 - Reviewers evaluate project based only on information in application



Application Tips—DEC Division of Water RFAs

Project Budget for WQIP and NPG

- Fill out and attach expenditure budget
- Include only activities/costs to be reimbursed by the grant
- Calculate match as a percent of the award amount--not total project costs
- Calculate match based on eligible grant activities/costs; if it's not a grant-eligible cost, you cannot use it as match
- Be sure match is from an eligible source
- Be sure the requested amount in the budget matches requested amount in the application
- Check your math



Application Tips—DEC Division of Water RFAs

Common Mistakes Made by Consulting or Grant Writing Firms that Jeopardize Eligibility:

- Required documents are attached for the wrong municipality (they get switched)
 - Engineering report, budget, map, other
- Wrong information is in the application because it is copied from another application and details are not changed
- Sexual Harassment Prevention Certification Form is filled in for their own company instead of the municipal applicant



Thank You

Lauren Townley

Leila Mitchell

Julie Berlinski

Leah Gorman

Water Assessment and Implementation

Division of Water, NYS DEC

(518) 402-8179

User.water@dec.ny.gov

On the web:

WQIP: <http://www.dec.ny.gov/pubs/4774.html>

NPG: <http://www.dec.ny.gov/pubs/116725.html>



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