



**Division of Local
Government Services**

Department of State

Fall Funding Roundtable

Howard G. Sackett Technical Center

November 9, 2023

A Division of New York Department of State

November 7, 2023

Local Government Funding Programs

Local Government Efficiency (LGE) and Shared Services Programs

- Local Government Efficiency Grant (LGE Grant)
- Citizens Reorganization and Empowerment Grant (CREG)
- Countywide Shared Services Initiative (CWSSI)

Community Development Programs

- Northern Border Regional Commission (NBRC)
- Appalachian Regional Commission (ARC)

Local Government Efficiency and Shared Services



Program Mission

Assist local governments develop and implement new opportunities for savings and modernize service delivery; inform local government officials and boards.



Municipal Re-organization

Government Consolidation –
Dissolution of one local government into another or the combination of two or more local governments

Functional Consolidation –
One municipality provides a service or function for another municipality, which no longer engages in that service or function”



Shared Services

The joint provision, performance or delivery of a service, facility, activity, project or undertaking by two or more municipalities which each may lawfully undertake separately



Local Government Efficiency Grant (LGEG)



LGE Grant Funding

\$4 million in 2023

- Up to \$3.6 million for implementation
- Up to \$400,000 for planning projects
- Application in the CFA



Project Funding Levels

Planning Projects

\$12,500 per municipality, per project
Maximum of \$100,000 for a project
50% State Funds/50% Local Funds

Implementation Projects

Capped at \$200,000 per municipality, per project
Maximum of \$1,000,000 for a project
90% State Funds/10% Local Funds

Eligibility

Local Governments Applicants

- Towns, villages, cities, counties
- School districts, BOCES and public libraries
- Special districts, water and sewer authorities, regional planning boards

Expenses

- Contractual and professional services
- Capital and equipment
- Transitional personnel

Recent Infrastructure Awards

- 1) **Town of Tupper Lake** - North Country Regional GIS Infrastructure - \$346,050
- 2) **Wyoming County** - Centralized Water Meter Reading and Billing - \$243,000
- 3) **Oswego County** - County-Wide Infrastructure Authority Feasibility - \$60,000
- 4) **Essex County** - County Solid Waste Management Study - \$20,000

Citizen's Reorganization and Empowerment Grant (CREG)

CREG

Implements General Municipal Law Article 17-A

Local Government, Special District Consolidation and Dissolution

Non-Competitive, Monthly Deadline

Maximum of \$100,000 per project

- \$50,000 maximum for a study
 - \$25,000 expedited for petitioned local governments
- \$50,000 maximum for implementation

CREG

Eligibility Applicants

- Towns
- Villages
- Special districts

Eligibility Expenses

- Contractual
- Capital, Construction and Equipment
- Transitional Personnel

Countywide Shared Services Initiative (CWSSI)

CWSSI

Established in the FY 2018 State Budget to generate property tax savings through intergovernmental collaboration

Local government panel, chaired by the Chief Executive Officer of the County, develops and approves a County-wide Shared Service Property Tax Savings Plan (Plan)

Plan will create demonstrable property tax savings from actions identified in the Plans

CWSSI

Opportunity for State Match Funding

- Plans that create actual and demonstrable savings across multiple jurisdictions may be eligible for a one-time match of the net savings resulting from new actions implemented pursuant to the CWSSI.



CWSSI Match Guidelines

- Match only applies to projects implemented in the one-year period beginning January 1st of the year following adoption of the CWSSI Plan
- Starting in 2021, the implementation period can start either January 1st or July 1st
- Savings must be from new actions included in your approved Plan



CWSSI Match Parameters

- Savings must result from shared services between two or more participating local governments
- Only net savings are eligible; implementation costs and grant funds must be subtracted from gross savings
- Capital costs and savings are amortized using the straight-line method of depreciation for the asset class (found in the State's "Period of Probable Usefulness" tables)

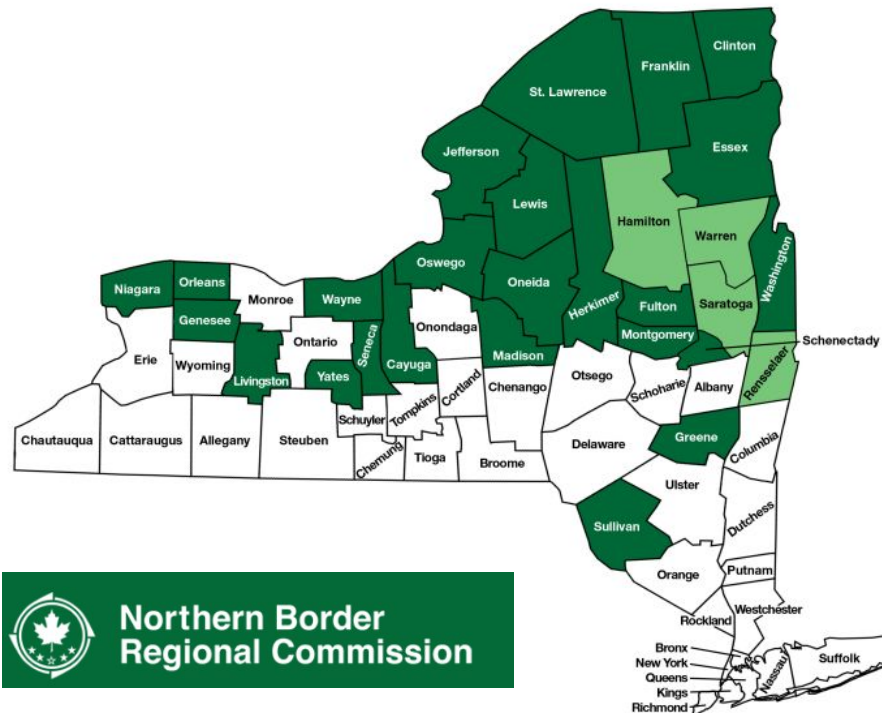


Northern Border Regional Commission (NBRC)

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Northern Border Regional Development



- Four-state Partnership Program
- Federal Funding/State Priorities
- Municipalities and non-profits
- Match (80/20 or 50/50)
- Planning and implementation

Northern Border Regional Development

1. Infrastructure Priorities

Transportation

Water and Sewer

Telecommunications Renewable and
alternative energy

2. Workforce, entrepreneurship, and
business development

3. Basic health care for distressed areas

4. Conservation, tourism and recreation for
economic development

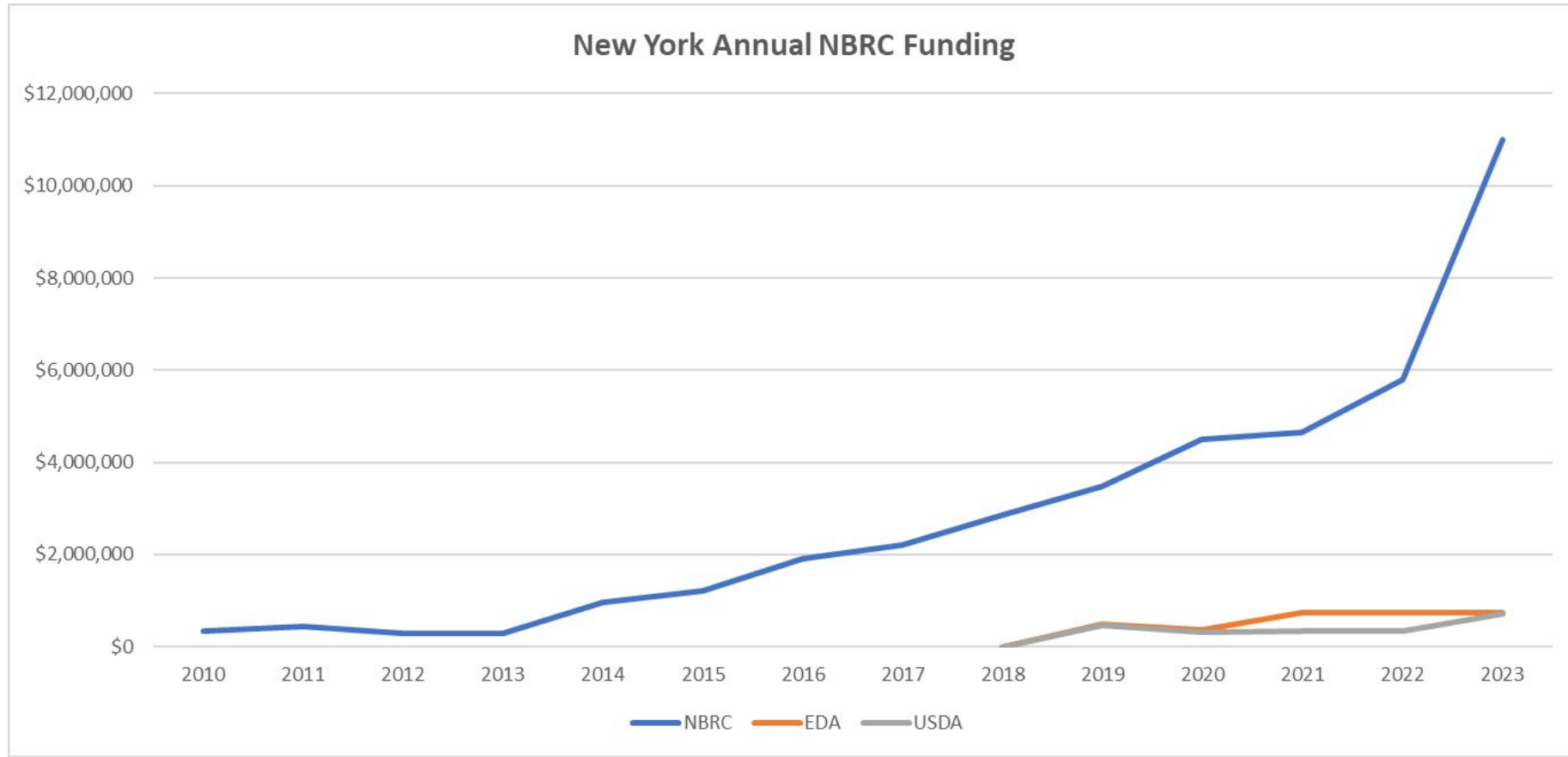


Northern Border Regional Development

\$12.4 million for New York in 2023

- Catalyst Program - \$5.8MM/State Annual Appropriation
- IIJA Funding - \$5.2MM/State
- EDA - \$750,000/State
- USDA - \$712,500/ State
- 69 applications for 62 million
- 15 projects approved for funding
- Other programs
 - Outdoor Recreation
 - Forest Economy
 - State Capacity
 - Broadband

Northern Border Regional Development



Northern Border Regional Development

Federal Agency Partnerships

- EDA – Economic Development Projects
- USDA – Economic Development/Outdoor Recreation
- DOL – WORC Program Partnership
- FHWA - Pilot projects that demonstrate the capabilities of wood-based infrastructure
- HRSA - Rural Health Outreach/Rural Communities Opioids Response Program

Northern Border Regional Development

Project Funding and Match

\$1,000,000 for an infrastructure project

\$500,000 for all other project types

Grants are reimbursements of previously paid and eligible expenses

Up to 80% federal funding per project



New York 2023 NBRC Infrastructure Projects

- 1) Cayuga County Water and Sewer Authority - \$605,385 - Cayuga County Industrial Park Water Line – Economic Development/Business Expansion
- 2) Town of Henderson - \$1,000,000 - Wastewater Treatment and Collection – Outdoor Recreation/Tourism
- 3) Fort Ticonderoga Association - \$200,000 – Water Line Replacement – Economic Development/Tourism
- 4) Town of Lawrence - \$750,000 (EDA Funds) – St. Lawrence County - Wastewater Upgrades – Economic/Hamlet Development

Appalachian Regional Commission (ARC)



Appalachian Regional Commission

ARC Strategic Goals:

1. Building Businesses
2. Develop Workforce Ecosystems
3. Improve Infrastructure
4. Enhance Regional Culture and Tourism
5. Strengthen Community Leaders and Capacity



Appalachia Envisioned

A New Era of Opportunity | ARC Strategic Plan Fiscal Years 2022-2026



Appalachian New York



Southern Tier West		
1	2	3
Chautauqua	Cattaraugus	Allegany
An at-risk county with 9 distressed areas in FFY-2023; the max ARC share for projects in this county is 70%.	A transitional county with 3 distressed areas in FFY-2023; the max ARC share for projects in this county is 50%.	An at-risk county with 0 distressed areas in FFY-2023; the max ARC share for projects in this county is 70%.

Southern Tier Central	
4	5
Steuben	Schuyler
A transitional county with 2 distressed areas in FFY-2023; the max ARC share for projects in this county is 50%.	A transitional county with 0 distressed areas in FFY-2023; the max ARC share for projects in this county is 50%.

Southern Tier East (dba "Southern Tier 8")			
7	8	11	13
Tompkins	Cortland	Chenango	Otsego
A transitional county with 0 distressed areas in FFY-2023; the max ARC share for projects in this county is 50%.	A transitional county with 2 distressed areas in FFY-2023; the max ARC share for projects in this county is 50%.	A transitional county with 1 distressed area in FFY-2023; the max ARC share for projects in this county is 50%.	A transitional county with 1 distressed area in FFY-2023; the max ARC share for projects in this county is 50%.

6
Chemung
A transitional county with 5 distressed areas in FFY-2023; the max ARC share for projects in this county is 50%.

9	10	12	14
Broome	Tioga	Delaware	Schoharie
A transitional county with 13 distressed areas in FFY-2023; the max ARC share for projects in this county is 50%.	A transitional county with 0 distressed areas in FFY-2023; the max ARC share for projects in this county is 50%.	A transitional county with 2 distressed areas in FFY-2023; the max ARC share for projects in this county is 50%.	A transitional county with 0 distressed areas in FFY-2023; the max ARC share for projects in this county is 50%.

Key –

- Southern Tier West Region
- Southern Tier Central Region
- Southern Tier East Region
- At Risk Counties
- Distressed Census Tracts

Local Development Districts (LDD) HQs

- ★ Salamanca, Catt. Co.
- ★ Corning, Steuben Co.
- ★ Binghamton, Broome Co.



Appalachian Regional Commission

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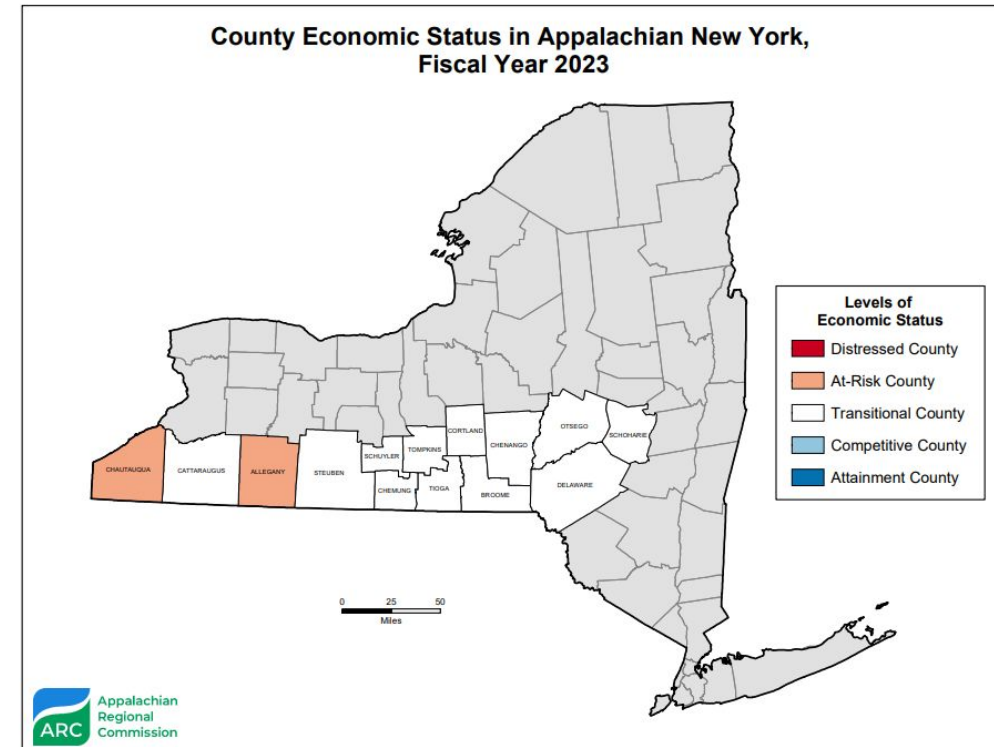
US Expenditure \$200 Million/Year

- **NYS's Allocation:**
 - \$3.4 Million - Area Development
 - \$3.9 Million - IIIJA (thru FFY-26)
- **Competitive Opportunities for all ARC locations:**
 - **\$65 Million - POWER** (Partnerships for Opportunity & Workforce & Economic Revitalization)
 - **\$13 Million - INSPIRE** (Investments Supporting Partnerships In Recovery Ecosystems)
 - **\$61 Million/3 years - WORC** (Workforce Opportunities For Rural Communities [USDOL])
 - **\$5 Million - Energy Hub Development**



Appalachian
Regional
Commission

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Appalachian Regional Commission

IIJA – (Infrastructure Investment and Jobs Act) 2021

– \$ 1 Billion/5 years

- \$100 Million Annually for Regional Development
 - **\$3.9M/yr for NYS**
- \$80 Million Annually for ARISE (Appalachian Regional Initiatives for Stronger Economies)
- \$10 Million – Capacity Development



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Appalachian Regional Commission

Non-Financial Initiatives & Opportunities:

- Appalachian Development Highway System (ADHS)
- Summer Education Programs
 - ARC STEM at Oak Ridge National Lab (Programs for teachers & students)
 - Appalachian Entrepreneurship Academy
- READY Appalachia (Technical Assistance for Foundations, NFPs, and LDDs)
- Appalachian Leadership Institute
- ARC Goal Area Technical Assistance
- Grant-Writing Workshops & Webinars
- LDD-based Training & Technical Assistance
- Funding Opportunity Notifications & CFA Advice
- NYS EFC/DOS Co-Funding Initiative



Contact Information

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