

AS Water and Wastewater Funding Sources

Compiled by the Environmental Finance Center Network, March 2019

Organization	Program (key words)	Gov. Entity	Non- Profit	For- Profit	Purpose or Use of Funds	Application Dates	Website	Contact
U.S. Environmental Protection Agency	Drinking Water State Revolving Fund Territorial Program (DWSRF) <i>(water)</i>	✓			The Drinking Water Infrastructure Grants Territorial Program provides grant funds to improve the infrastructure of drinking water systems located in four US territories: the Virgin Islands; American Samoa; Guam; and the Northern Mariana Islands. It is a component of the Drinking Water State Revolving Fund. Community water systems, and non-profit, non-community water systems, are eligible to have projects funded.	Contact the DWSRF program in your state for information on how to apply.	https://www.epa.gov/drinkingwatersrf/funding-assistance-territories-under-drinking-water-state-revolving-fund#allm	Dean Higuchi higuchi.dean@epa.gov 808-541-2710 17-3304 Mariner Avenue Tiyan, Barrigada, Guam 96913
	Clean Water State Revolving Fund (CWSRF) <i>(sewer)</i>	✓			The Clean Water State Revolving Fund program funds water quality protection projects for wastewater treatment, nonpoint source pollution control, and watershed and estuary management.	Contact the CWSRF program in your state for information on how to apply.	http://water.epa.gov/grants_funding/cwsrf/cwsrf_index.cfm	Thomas Konner konner.thomas@epa.gov 415-972-3597 75 Hawthorne Street (WTR-3) San Francisco, California 94105
	Water Infrastructure Finance and Innovation <i>(water, wastewater)</i>	✓	✓	✓	The Water Infrastructure Finance and Innovation Act of 2014 (WIFIA) established the WIFIA program, a federal credit program administered by EPA for eligible water and wastewater infrastructure projects. The WIFIA program offers loans with low, fixed interest rates and flexible financial terms. The minimum project size for small communities, population of 25,000 or less, is \$5 million.	The WIFIA application process is two phases. Prospective borrowers must submit a letter of interest for their project to the WIFIA program by the announced annual deadline. For each selected projects, the prospective borrower may submit an application, negotiate loan terms, and close its loan. Please check the WIFIA website for more information about program deadlines.	https://www.epa.gov/wifia	Karen Fligger wifia@epa.gov 202-564-2992 1200 Pennsylvania Avenue, Northwest Mailcode 4201T Washington, District of Columbia 20460
USDA Rural Development	Waste and Water Disposal Direct Loans and Grants <i>(water, sewer)</i>	✓	✓		The purpose of this program is to develop water and waste disposal systems in rural areas with populations less than 10,000. Fixed, low interest rates. Repayment - up to 40 years. Grants may be available.	Applications are accepted on a rolling basis. Paper applications are accepted, or applicants can register for and use RD Apply. Information is available here: http://www.rd.usda.gov/programs-services/rd-apply	http://www.rd.usda.gov/programs-services/water-waste-disposal-loan-grant-program/wp	Don Etes donald.etes@hi.usda.gov 808-933-8305 Room 311, Federal Building 154 Waiianueue Avenue Hilo, Hawaii 96720
	Water and Waste Disposal Guaranteed Loan Program <i>(water, sewer)</i>	✓	✓		The purpose of this program is to provide a loan guarantee for the construction or improvement of water and waste disposal projects serving the financially needed communities of rural areas. This is achieved through bolstering existing private credit structure through the guarantee of quality loans. Guarantees up to 90% available to eligible lenders.		http://www.rd.usda.gov/programs-services/water-waste-disposal-loan-guarantees/wp	
	Special Evaluation Assistance for Rural Communities and Households (SEARCH) <i>(water, wastewater)</i>	✓	✓		This program helps very small, financially distressed rural communities with predevelopment feasibility studies, design assistance, and technical assistance on proposed water and waste disposal projects. A maximum of \$30,000 is available.		https://www.rd.usda.gov/programs-services/search-special-evaluation-assistance-rural-communities-and-households/wp	
	Water and Waste Disposal Predevelopment Planning Grants <i>(water, wastewater)</i>	✓	✓		This program assists low-income communities with initial planning and development of an application for USDA Rural Development Water and Waste Disposal direct loan/grant and loan guarantee programs. The maximum is \$30,000 or 75% of the predevelopment planning costs.		http://www.rd.usda.gov/programs-services/water-waste-disposal-predevelopment-planning-grants/wp	



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USDA Rural Development	Emergency Community Water Assistance Grants <i>(water)</i>	✓	✓		This grant program is designed to assist rural communities that have experienced a significant decline in quantity or quality of drinking water due to an emergency, or in which such decline is considered imminent, to obtain or maintain adequate quantities of water that meets the standards set by the Safe Drinking Water Act.	Applications are accepted on a rolling basis. Paper applications are accepted, or applicants can register for and use RD Apply. Information is available here: http://www.rd.usda.gov/programs-services/rd-apply	http://www.rd.usda.gov/programs-services/emergency-community-water-assistance-grants/wp	Don Etes donald.etes@hi.usda.gov 808-933-8305 Room 311, Federal Building 154 Waiianueue Avenue Hilo, Hawaii 96720
	Water and Waste Disposal Revolving Fund <i>(water, wastewater)</i>		✓		This program helps qualified nonprofits create revolving loan funds that can provide financing to extend and improve water and waste disposal systems in rural areas.	Contact the Rural Development program specialist in your state or see contact information.	https://www.rd.usda.gov/programs-services/water-waste-disposal-revolving-loan-funds/wp	Derek Jones derek.jones@wdc.usda.gov 202-720-9640 1400 Independence Avenue Southwest Washington, District of Columbia 20250
	Business and Industry Guaranteed Loan <i>(small business)</i>	✓	✓	✓	This program improves the economic health of rural communities by increasing access to business capital through loan guarantees that enable commercial lenders to provide affordable financing for businesses in eligible rural areas. Lenders such as federal or state-chartered banks, savings and loans, farm credit banks, and credit unions can apply for the program. Businesses can qualify for loan guarantees.	Applications are accepted continuously. To apply, contact your regional office.	https://www.rd.usda.gov/programs-services/business-industry-loan-guarantees/wp	Denise Oda denise.oda@hi.usda.gov 808-933-8312 Room 311, Federal Building 154 Waiianueue Avenue Hilo, Hawaii 96720
American Samoa Government Department of Commerce	Community Development Block Grant (CDBG) Insular Areas <i>(water, sewer)</i>	✓			The American Samoa Government (ASG) Community Development Block Grant (CDBG) is one of three Community Planning and Development (CPD) programs funded by the U.S. Department of Housing and Urban Development (HUD). While the Office of the Governor oversees all CPD programs, CDBG is administered by the Department of Commerce (DOC). Its primary principle is to develop feasible urban communities by creating employment opportunities, provide decent housing and form suitable living environments. In reaching the main purpose, projects must be eligible, cannot be a prohibited activity, and must meet a National Objective.	Contact the CDBG program specialist for more information on how to apply.	http://doc.as.gov/planning_grants/cdbg/	Michael McDonald info@doc.as 684-633-5155 ext. 263 A.P. Lutali Executive Office Building Utulei, American Samoa 96799
Economic Development Administration, Department of Commerce	Public Works <i>(water, sewer)</i>	✓	✓		Empowers distressed communities to revitalize, expand, and upgrade their physical infrastructure, and generate or retain long-term, private sector jobs and investment.	Application packages are available at www.grants.gov . Applications will be accepted on an ongoing basis until the publication of a new EDAP Notice of Funding Availability.	https://www.eda.gov/funding-opportunities/	Hebert Thweatt hthweatt@eda.gov 808-541-3391 Federal Building, Room 5180 300 Ala Moana Boulevard Honolulu, Hawaii 96850
	Economic Adjustment <i>(water, sewer)</i>	✓	✓		Assists state and local interests in designing and implementing strategies to adjust or bring about change to an economy. The program focuses on areas that have experienced or are under threat of serious structural damage to the underlying economic base.			

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US Department of the Interior - Bureau of Reclamation	WaterSMART Grants: Water & Energy Efficiency Grants <i>(water, energy efficiency)</i>	✓			Through Water and Energy Efficiency Grants, Reclamation provides 50/50 cost share funding to irrigation and water districts, tribes, states and other entities with water or power delivery authority. Projects should seek to conserve and use water more efficiently, increase the production of hydropower; mitigate conflict risk in areas at high risk of future water conflict; and accomplish other benefits that contribute to water supply reliability in the western United States.	Funding Opportunity Announcement anticipated to be posted to grants.gov in January 2019.	https://www.usbr.gov/watersmart/weeg/index.html	Josh German jgerman@usbr.gov 303-445-2839 or Robin Graber rgraber@usbr.gov 303-445-2764
	WaterSMART Grants: Small-Scale Efficiency Projects <i>(water efficiency)</i>	✓			Through Small-Scale Water Efficiency Projects, Reclamation provides 50/50 cost share funding to irrigation and water districts, tribes, states and other entities with water or power delivery authority. Projects support small-scale water management efforts (up to \$75,000 in Federal funding for each project) that have been identified through previous planning efforts. Reclamation has developed a streamlined selection and review process to reflect the small-scale nature of these projects.	Funding Opportunity Announcement (BOR-DO-19-F005) was posted to grants.gov in January 2019. Proposals will be accepted until the application submission deadline of April 24, 2019.	https://www.usbr.gov/watersmart/swep/index.html	Building 67 (84-51000) P.O. Box 25007 Denver, Colorado 80225
	Title XVI Water Reclamation & Reuse Program <i>(water, wastewater)</i>	✓			Title XVI is Reclamation's water recycling and reuse program. Reclamation works with non-Federal partners to identify and investigate opportunities to reclaim and reuse wastewaters and impaired ground and surface water in the 17 Western states and Hawaii. Entities with Congressionally authorized projects or that are eligible under section 4009(c) of the Water Infrastructure Improvements for the Nation Act (WIIN) may seek competitive, cost-shared funding to plan, design and construct projects.	In FY 2019, Reclamation will be offering funding under the Title XVI Program for research, planning, design, and construction of congressionally authorized or WIIN Title XVI Projects.	http://www.usbr.gov/watersmart/title/index.html	Amanda Erath aerath@usbr.gov 303-445-2766 Building 67 (84-51000) P.O. Box 25007 Denver, Colorado 80225 For regional specific contact: http://www.usbr.gov/watersmart/title/contacts.html
	Drought Response Program: Drought Resiliency Projects <i>(water, drought)</i>	✓			Through the Drought Response Program, Reclamation provides 50/50 cost share funding to irrigation and water districts, tribes, states and other entities with water or power delivery authority. Projects should increase the reliability of water supplies; improve water management; and provide benefits for fish, wildlife, and the environment to mitigate impacts caused by drought.	Funding Opportunity Announcement (BOR-DO-19-F003) was posted to grants.gov on January 24, 2019, and will close on March 27, 2019.	http://www.usbr.gov/drought	Darion Mayhorn dmayhorn@usbr.gov 303-445-3121 Building 67 (84-51000) PO Box 25007 Denver, Colorado 80225
US Department of the Interior - Office of Insular Areas	Compact Impact Aid <i>(water, sewer)</i>	✓			The Amended Compact of Free Association with the Marshall Islands and Micronesia authorizes financial assistance to affected jurisdictions such as Hawaii, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. The goal of this assistance is to provide through 2023, \$30 million in annual grants to affected jurisdictions to aid in defraying costs incurred as a result of increased demands placed on health, educational, social, or public safety services, or to infrastructure related to such services.	Contact the Compact Impact Aid program for information on how to apply.	https://www.doi.gov/oia/fiscal-payments	Jonathan Dunn jonathan_dunn@ios.doi.gov 202-208-6971 1849 C Street, Northwest, Mail Stop 2429 Washington, District of Columbia 20240



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US Department of the Interior- Office of Insular Areas	Maintenance Assistance Program <i>(water)</i>	✓			The Maintenance Assistance Program is used to support, develop,, improve and as much as possible institutionalize infrastructure maintenance practices in the seven insular areas. Activities will support maintenance training to extend the life of island infrastructure, ensure the safety of maintenance technicians, and/or increase the capacity of infrastructure to withstand extreme events; this may include training of maintenance technicians that increases knowledge and awareness of measures to be taken to protect infrastructure from severe weather impacts.	Applications must be submitted via www.grants.gov . Use Catalog of Federal Domestic Assistance Number: 15.875. See website for closing date.	https://www.doi.gov/oia/fiscal-payments	Hailey McCoy hailey_mccoy@ios.doi.gov 202-513-7746 1849 C Street Northwest Mail Stop 2429 Washington, District of Columbia 20240
	Technical Assistance Program <i>(water)</i>	✓			The Technical Assistance Program is used to support grant funding to support accountability, financial management, economic development, education, energy, management control initiatives, disaster assistance, natural and cultural resources, capacity building, health initiatives and invasive species management.			
Small Business Administration (SBA)	504 Fixed Asset Program (Certified Development Company) <i>(small business)</i>			✓	The 504 Loan Program provides approved small businesses with long-term, fixed-rate financing used to acquire fixed assets for expansion or modernization. 504 loans are made available through Certified Development Companies (CDCs), SBA's community based partners for providing 504 Loans.	For additional information on eligibility criteria and loan application requirements, please contact your local Certified Development Company (CDC) at: https://www.sba.gov/offices/headquarters/ofa/resources/4049	https://www.sba.gov/offices/headquarters/ofa/resources/4049	Hebert Thweatt hthweatt@eda.gov 684-699-4830 American Samoa Community College P.O. Box 2609 Pago Pago, American Samoa 96799
	7(a) Loan Guarantee <i>(small business)</i>			✓	The 7(a) program is a flexible tool that can be used to finance a variety of business purposes. The proceeds of a 7(a) guaranteed loan may be used to purchase machinery, fixtures, and supplies; make improvements to land and buildings; finance receivables and augment working capital; acquire and start businesses; and refinance existing debt under certain conditions. The regular 7(a) program's maximum loan amount is \$5 million. There is no minimum amount. Other, more specialized 7(a) programs have different terms and guaranty amounts.	Borrowers must submit SBA Form 1919 for a 7(a) business loan to private lenders. The lender will review the application, complete SBA Form 1920, and then submit it to the SBA's Loan Guaranty Processing Center through SBA's E-Tran website.	https://www.sba.gov/document/?program=7(a)	
	Community Advantage Pilot <i>(small business)</i>			✓	Community Advantage is a pilot initiative aimed at increasing the number of SBA 7(a) lenders who reach underserved communities, targeting mission-focused financial institutions which were previously not able to offer SBA loans. The maximum loan size is \$250,000. Guarantee can be up to 85 percent for loans up to \$150,000 and 75 percent for those greater than \$150,000.	All small business applicants must complete SBA Form 1919, Borrower Information Form, and 2449, Community Advantage Addendum. Lenders must complete SBA Form 1920.		
	Microloan Program <i>(small business)</i>			✓	The purpose of the Microloan Program is to assist women, low income, veteran, and minority entrepreneurs, and other small businesses in need of small amounts of financial assistance. Under the Microloan Program, SBA makes direct loans to Intermediaries that, in turn, use the proceeds to make small loans to eligible micro borrowers.	For additional information on eligibility criteria, loan application requirements, participating micro lenders please visit http://www.as-sbdc.org/index.html	https://www.sba.gov/tools/local-assistance	